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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of: Pallab Banerjee et al.

Application No.: 10/806,025 -- 1011

Filed: March 22, 2004

For: Hyprebranched Dendron And Methods of

Synthesis And Use Thereof

Attorney Docket No.: 22727-106

Group Art Unit: 1632

Examiner: Not Yet Assigned

#### Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below.

Date of Signature and of Mail Deposit

By:

Ronald E. Cahill Reg. No. 38,403

Attorney for Applicants

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL LETTER

### Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Fee Transmittal;
- 2. Response to Notice To File Missing Parts o Nonprovisional Application;
- 3. Petition For Two-Month Extension of Time (in duplicate);
- 4. Two (2) Executed Declaration and Powers of Attorney for Application;
- 5. A check in the amount of \$2,085.00 (for filing fees)
- 6. A check in the amount of \$225.00 (for extension fee); and
- 7. Return receipt postcard.

The Commissioner is authorized to charge any overpayments or underpayments if necessary to our Deposit Account No. 141449, Reference No. 22727-106, Customer No. 021125. A duplicate of this sheet is enclosed.

Dated: December 17, 2004

Respectfully submitteff

Ronald E. Cahill, Reg. No. 38,403

Attorney for Applicants

NUTTER, MCCLENNEN & FISH LLP

World Trade Center West 155 Seaport Boulevard Boston, MA 02210-2604

Tel. (617) 439-2782 Fax (617) 310-9782

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OIPE 20 2004

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By:

Ronald E. Cahill Reg. No. 38,403

Attorney for Applicants

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

In response to the Notice To File Missing Parts of Nonprovisional Application dated June 1, 2004, Applicant's attorney submits herewith the two (2) Executed Declarations and Power of Attorney for Patent Application for the above-referenced application. A Petition for Two-Month Extension of Time is being filed concurrently herewith. A check in the amount of \$2,085.00 is enclosed to cover the total amount owed by Applicant including \$395.00 basic filing fee based on small entity status, \$65.00 surcharge and \$1,625.00 for extra claim fee. A copy of the Notice To File Missing Parts of Nonprovisional Application is also enclosed.

The Commissioner is hereby authorized to charge any underpayments or credit any overpayments in association with this filing to Deposit Account No. 141449, Reference No. 22727-106. A duplicate of this letter is enclosed.

Dated: December 17, 2004

Respectfully submitted,

Ronald E. Cahill, Reg. No. 38,403

Attorney for Applicants

NUTTER, MCCLENNEN & FISH LLP

World Trade Center West 155 Seaport Boulevard Boston, MA 02210-2604

Tel. (617) 439-2782 Fax (617) 310-9782

1387705.1

PTO/SB/92 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEET TRANSMITTAL FOR FY 2005  X Applicant claims small entity status. See 37 CFR 1.27  TOTAL AMOUNT OF PAYMENT  (\$) 2,310.00  Attorney Docket No. 22727-106  METHOD OF PAYMENT (check all that apply)  X Checks Credit Card Money Order None Other (please identify):  Deposit Account Number 141449 Deposit Account Name: Nutter McClennen & Fish LLP  For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Oberian ST CFR 1.16 and 1.17  FEE CALCULATION  1. BASIC FILING, SEARCH, AND EXAMINATION FEES Small Entity Application Type Fee (\$) Fee
First Named Inventor   Pallab Banerjee   Examiner Name   Not Yet Assigned
First Named Inventor   Pallab Banerjee   Examiner Name   Not Yet Assigned   Not Yet Ass
X   Applicant claims small entity status. See 37 CFR 1.27   Art Unit   1632
METHOD OF PAYMENT (check all that apply)    X   Checks   Credit Card   Money Order   None   Other (please identify):
METHOD OF PAYMENT (check all that apply)    X   Checks
X   Checks   Credit   Card   Money Order   None   Other (please identify):   Deposit Account   Deposit Account   Number:   141449   Deposit Account Name:   Nutter McClennen & Fish LLP
Deposit Account Deposit Account Number. 141449 Deposit Account Name: Nutter McClennen & Fish LLP  For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee dee(s) under 37 CFR 1.16 and 1.17  FEE CALCULATION  1. BASIC FILING, SEARCH, AND EXAMINATION FEES  FILING FEES  SEARCH FEES  SMall Entity  Application Type  Fee (\$)
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17  FEE CALCULATION  1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES Small Entity Application Type Fee (\$)
Charge fee(s) indicated below Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17  FEE CALCULATION  1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES Small Entity Application Type Fee (\$)
Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17   x Credit any overpayments   x Credit any overpayment
Fee (s) under 37 CFR 1.16 and 1.17
Application Type
Application Type
Application Type         Fee (\$)         Small Entity Fee (\$)
Page
Utility         300         150         500         250         200         100         395.00           Design         200         100         100         50         130         65           Plant         200         100         300         150         160         80           Reissue         300         150         500         250         600         300           Provisional         200         100         0         0         0         0           2. EXCESS CLAIM FEES         Eee Description           Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent         50         25           Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent         200         100
Design         200         100         100         50         130         65           Plant         200         100         300         150         160         80           Reissue         300         150         500         250         600         300           Provisional         200         100         0         0         0         0           2. EXCESS CLAIM FEES           Fee Description         Fee (\$)         Small Entity           Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent         50         25           Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent         200         100
Plant         200         100         300         150         160         80           Reissue         300         150         500         250         600         300           Provisional         200         100         0         0         0         0           2. EXCESS CLAIM FEES         Fee (\$)         Fee (\$)         Small Entity           Fee Description         Fee (\$)         Fee (\$)         Fee (\$)         Small Entity           Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent         50         25           Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent         200         100
Provisional 200 100 0 0 0 0 0  2. EXCESS CLAIM FEES Fee Description Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100
2. EXCESS CLAIM FEES Fee Description Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100
Fee DescriptionFee (\$)Fee (\$)Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent5025Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent200100
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100
Multiple dependent claims 360 180
Total Claims
$77 \cdot 20 = 57 \times 25.00 = 1,425.00$ Fee (\$)
Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)
1000000000000000000000000000000000000
3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)
100 = /50 (round up to a whole number) x =
4. OTHER FEE(S)  Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)  Other: 2051 Surcharge-Late oath or declaration 65.00
Other: 2051 Surcharge-Late dath or declaration 65.00 2252 Extension for response within second month 225.00
SUBMITTED BY O O O
Registration No. (Attorney/Agent) 38,403 Telephone (617) 439-2000
Name (Print/Type) Ronald E. Cahill Date December 16, 2004





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandriz, Vinginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/806,025

03/22/2004

Pallab Banerjee

22727-106

CONFIRMATION NO. 1011

FORMALITIES LETTER

\*OC000000012836144\*

w.wyw.w

021125 NUTTER MCCLENNEN & FISH LLP WORLD TRADE CENTER WEST 155 SEAPORT BOULEVARD BOSTON, MA 02210-2604

Date Mailed: 06/01/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/21/2004 SFELEKE1 00000043 10805025

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 02 FC:2051 03 FC:2201 04 FC:2202 395.00 OP 65.00 OP 200.00 OP

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$599 as a small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

2,085.00

Total additional fee(s) required for this application is \$1049 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$599
  - \$86 for 2 independent claims over 3.
  - \$513 for 57 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE